NOTICE OF PRIVACY PRACTICES

THIS NOTICE DESCRIBES HOW HEALTH INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION.

PLEASE REVIEW IT CAREFULLY.

Thank you for choosing Ellis Hospital for your healthcare needs. We are privileged to have your confidence and are committed to safeguarding the personal information that you give/have given us. This notice will explain our policy of collecting, handling, using and securing individually identifiable patient information as required by the Health Insurance Portability and Accountability Act of 1996 ("HIPAA").

I. PERMITTED USES AND DISCLOSURES OF HEALTH INFORMATION

A. TREATMENT, PAYMENT, HEALTHCARE OPERATIONS: You should be aware that during the course of our relationship with you that we likely will use and disclose health information about you for treatment, payment and healthcare operations. Examples of these activities are as follows:

Treatment: Your confidential healthcare information may be released to other healthcare professionals for the purpose of providing you with quality healthcare.

Payment: Your confidential healthcare information may be released to your insurance provider for the purpose of the hospital receiving payment for providing you with needed healthcare services.

Healthcare Operations: Your confidential healthcare information may be disclosed in connection with our healthcare operations. Healthcare operations include quality assessment and improvement activities, our review of the ability or qualifications of our healthcare professionals, evaluating practitioner and provider performance and other Ellis business operations.

B. AUTHORIZATIONS: You may specifically authorize us to use your health information for any purpose or to disclose your health information to anyone. We will do so upon our receipt of a written authorization from you stating that we may use or disclose your health information in agreement with that authorization. You may cancel any such authorization at any time by notifying us in writing. This cancellation will not affect any use or disclosures permitted by your authorization while it was in effect. Unless you give us a written authorization, we cannot use or disclose your health information for any reason except those permitted by this Notice or as otherwise permitted by law.

C. DISCLOSURES TO FAMILY AND PERSONAL REPRESENTATIVES: We must disclose your health information to you as described below in the Patient Rights section of this Notice. Note that such disclosures will be made to any of your personal representatives (parent, child, husband or wife, etc.) appropriately authorized by you to provide them access and/or control of your health information. Under certain circumstances, including emergency treatment, we may disclose your location and general condition to a family member, other relative, close personal friend or any other person who you identify. We also may disclose health information related to such an individual's involvement in your care or with payment related to your care.
D. HOSPITAL DIRECTORY: If you do not object, we will include your name, location in our facility, your general condition (e.g. fair, stable, critical, etc.), and your religious affiliation in our hospital directory while you are in the hospital.

E. MARKETING: We will not use your health information for marketing communications without your written authorization. We will not sell your health information to anyone.

F. USES OR DISCLOSURES REQUIRED BY LAW: We may use or disclose your health information when we are required to do so by law, including for public health reasons (e.g., disease reporting). In some instances, and in agreement with applicable law, we may be required to disclose your health information to appropriate authorities if we reasonably believe that you are a possible victim of abuse, neglect or domestic violence or the possible victim of other crimes.

G. PATIENT AND THIRD PARTY PROTECTION: Only as permitted by law, we may disclose your health information to avoid a serious threat to your health or safety or to the health or safety of others.

H. LAW ENFORCEMENT/NATIONAL SECURITY: We may disclose health information in response to properly issued subpoenas, judicial proceedings and law enforcement inquiries as permitted by law. Under certain circumstances we may disclose health information relating to members of the Armed Forces, to military authorities and to authorized federal officials if such information is required for lawful intelligence, counterintelligence and other national security activities. Under certain circumstances we also may disclose health information relating to inmates or patients to correctional institutions or to law enforcement personnel having lawful custody of those individuals.

I. APPOINTMENT REMINDERS: We may use or disclose your health information to provide you with appointment reminders (such as voicemail messages, postcards or letters).

J. FOOD AND DRUG ADMINISTRATION ("FDA"): We may disclose to the FDA health information relative to unfavorable events with respect to food, supplements, products and product defects or post marketing study information to enable product recalls, repairs or replacement.

K. WORKERS’ COMPENSATION: We may disclose health information to the extent authorized by laws relating to workers' compensation or to other similar programs established by law.

L. FUNDRAISING: You may be contacted by the hospital for the purposes of raising funds to support the hospital's operation. You will be given the opportunity to opt out of any future fundraising contacts.

M. BUSINESS ASSOCIATES: There are some services provided in Ellis through contracts with our business associates. Examples include contracted physician services in certain specialty departments and laboratory tests. When these services are contracted, we may disclose your health information to our business associates so that they can perform the job we have asked them to do and bill you or your insurance company for services rendered. To protect your health information, however, we require the business associate to appropriately safeguard your information.

II. PATIENT RIGHTS:

A. ACCESS TO RECORDS: Upon submission of a written request to us, you have the right to review or receive copies of your health information, with limited exceptions. You may obtain a form to request access by using the contact information listed at the end of this Notice. If you request copies, we will charge you a reasonable copying fee. If you request that the records be mailed, we may charge you for postage. If you prefer, we will prepare a summary or an explanation of your health information for a fee. Contact us using the
information listed at the end of this Notice if you are interested in receiving a summary of your information instead of copies. You may request that we provide copies in a non-photocopy format, and we will supply records in that format if it is readily available. If you request records in an alternative format, we will charge a reasonable fee for providing your health information in that format.

B. ACCOUNTING OF CERTAIN DISCLOSURES: Upon written request, you have the right to receive a list of instances in which we or our business associates disclosed your health information for purposes other than treatment, payment, healthcare operations and other activities authorized by you for the last six (6) years, but not before April 14, 2003. If you request this accounting more than once in a twelve (12)-month period, we may charge you a reasonable fee for responding to these additional requests.

C. RESTRICTIONS AND ALTERNATIVE COMMUNICATIONS: You have the right to request that we place additional restrictions on our use or disclosure of your health information for treatment, payment and healthcare operation purposes. Depending on the circumstances of your request we may, or may not, agree to those restrictions. If we do agree to your requested restrictions, we must honor them except in emergency treatment situations. You have the right to request that we communicate with you about your health information by other means or to another location (e.g., at your place of business rather than at you home). Such requests must be made in writing, must specify the other means or location and must provide satisfactory explanation how payments will be handled under the other means or location you request.

D. AMENDMENTS TO RECORDS: We make every effort to maintain complete, accurate and up-to-date information about you and about your health status. If you believe that our information is incomplete or incorrect, you have the right to request that we make changes to your health information. Such requests must be made in writing and must explain why the information should be changed. We may deny you request under certain circumstances. If you wish to make a change, please contact our Director of Health Information Services at (518) 243-4604.

III. PROTECTION OF YOUR INFORMATION:
We maintain security over your personal information through a combination of physical, electronic and procedural means as well as contractual arrangements. Through procedures and security levels, we limit access to patient information to only those employees and others who must use it in order to properly serve your healthcare needs.

IV. EFFECTIVE DATE AND CHANGES TO NOTICE:
We are required to provide you with this notice and to follow the privacy practices described above while this Notice is in effect. This Notice if effective as of April 14, 2003, and will remain in effect until we replace it. We reserve the right to change this Notice and the privacy practices described at any time in accordance with applicable law. Before making significant changes to our privacy practices, we will alter this Notice to reflect the changes and make the revised Notice available to you upon your request. Any changes we make to our privacy practices and/or to this notice may apply to health information created or received by us before the date of the changes.

V. QUESTIONS AND COMPLAINTS
If you want more information about our privacy practices or have questions or concern, please contact us. If you are concerned that we may have violated your privacy rights or if you disagree with a decision that we made or any decisions that we may make regarding the use, disclosure or access to your health information, you may complain to us using the contact information listed below. You also my submit a written complaint to the U.S. Department of Health and Human Services. We will provide you with the address to file such a complaint upon request. We support your right to the privacy of your health information. We will not retaliate in any way if you choose to file a complaint with us or with the U.S. Department of Health and Human Services.

Please direct any of your questions or complaints to:
Privacy Officer at (518) 243-4604, Ellis Hospital, 1101 Nott Street, Schenectady, NY 12308.

Telephone: (518) 243-4604
Fax: (518) 243-4078